

21011/68/2019-PM-I
Government of India
Ministry of Home Affairs
(Police Modernization Division)



Jaisalmer House, 26, Man Singh Road,
New Delhi, dated the 30th September, 2019.

To

1. Additional Chief Secretaries / Principal Secretaries
Home Departments of all State Governments.(except J&K)
2. The Director General of Police of all States.(except J&K)

Subject: Submission of State Action Plans(SAPs) for the year 2020-21 by the State Governments under the sub-scheme of 'Assistance to States for Modernisation of Police' under the umbrella scheme of Modernization of Police Forces.

Madam / Sir,

Please refer to the guidelines of the sub-scheme of 'Assistance to States for Modernisation of Police' forwarded vide letter No. 21011/57/2017-PM-I dated 13th December, 2017. As per calendar stipulated in the guidelines for approval of State Action Plans(SAPs), this Ministry invites State Action Plans(SAPs) for the year 2020-21.

2. It is informed that actual allocations of the sub-scheme will be known in due course and there may be changes in inter-State distribution to States as the ratio which is being presently followed is overdue for updating. Further, the continuation of the sub-scheme will be decided in due course. Therefore, tentative allocation for the purpose of formulating SAPs considered same as the allocation for the year 2019-20. **You may, therefore, prepare the SAP on the basis of the allocations enclosed at Statement-I. The State Security Plan may be kept in mind while preparing the SAP.**

3. The State Governments are required to formulate their SAP proposals for the FY 2020-21 under this sub-scheme in accordance with the guidelines dated 13th December 2017. The following points may also be kept in view while formulating the SAP:-

- i. The SAPs should be formulated by the State Governments after making a baseline assessment of the ground situation, identification of gaps & deficiencies and a time horizon of at least three years apart from reviewing the previous years' plan,

and after conducting an analysis of local needs and goals to see how they fit in with the State's strategic priorities. SAP and 20% Supplementary Plan may be submitted in the format at **Annexure- B**. This format include assessed requirement of any item, its availability & deficiency/shortfall and proposed quantity of that item and linkage with State Security Plan. The State Governments are further suggested to introduce the concept of scale of authorization in case of each of the items in the State Police Forces like the Central Governments do in case of CAPFs.

- ii. Proposals of SAP should be directly emanating from the State Security Plan. It is requested that State Governments may review State Security Plans, if required, to make it more focused and addressing only the major security issues. A copy of SSP, if revised, may be furnished along with SAP.
- iii. The SAP should be outcome oriented, have a discernable impact and visibility. It would, therefore, be appreciated if States include Projects, rather than proposing a list of unrelated equipment/items. Outcome Report as in **Annexure - C** may be furnished along with SAP.
- iv. It is reiterated that under the sub-scheme, assistance will continue to be provided to all the State Governments for weapons and equipments for forensics, information technology, communication, training etc. However, the scheme has been modified so as to facilitate targeted interventions and funding for the components of 'mobility' and 'construction of police infrastructure including housing' are allowed only in case of specific theatres, viz. Jammu & Kashmir, insurgency affected areas of North East (NE) Region and Left Wing Extremism (LWE) affected areas. Further, the items under 'mobility' head would be used only for strengthening field level police offices and not state level police offices. As such, the entire State of Jammu & Kashmir, districts covered under the Security Related Expenditure (LWE) [SRE(LWE)] and NE States covered under SRE(NE) are covered under specific theatres.
- v. The SAP is to be prepared in reference to the requirements of the State Police such as, weapons, equipment, training and forensic equipment. The following may be given due prominence in the SAP:

(a) It is suggested that photography / videography can be implemented on best effort basis in the categories of heinous offences such as murder, attempt to murder, culpable homicide not amounting to murder, attempt to commit culpable homicide, rape, attempt to commit rape, kidnapping and abduction, dacoity,

robbery, riots, arson and dowry deaths, etc. States may include photography and videography as a part of their SAP.

(b) Expenditure towards hardware replacement, expenses on O&M and connectivity charges towards further implementation of the CCTNS project in the States may be included in the SAP.

(c) SMART Policing initiatives may be included in the SAP.

(d) Up-gradation of forensic science set up may be given top priority.

(e) Requirement for Emergency Response Support System(ERSS).

- vi. Supplementary SAP constituting 20% of SAP size is also to be prepared and furnished separately. Release of funds for implementation of Supplementary Plans will be subject to availability of funds.
- vii. The item-wise bifurcation of Central and State shares in SAP and Supplementary Plan may be clearly indicated.
- viii. The States have been classified into Category 'A' and Category 'B' for the purpose of funding under the sub-scheme. Category 'A' States, namely Jammu & Kashmir, Himachal Pradesh, Uttarakhand and 8 North Eastern States, including Sikkim, will receive assistance on 90:10 (Centre: State) sharing basis. The remaining States will be in Category 'B' and will be provided assistance on 60:40 (Centre: State) sharing basis. Therefore, in the SAP proposals, the State Governments may invariably incorporate the components of State share based on the category of the State and confirm that due State share of funds has been factored into.
- ix. State Governments may propose to procure various weaponry, ammunition for practice /training and other items in the production range of Ordnance Factory Board, Kolkata or any other central agency and State Governments will make payments to these agencies directly.
- x. **The Utilization Certificates (UCs) in respect of funds released up to the year 2018-19 will form the basis for release of funds to the State Governments during 2020-21.** The UCs are to be furnished in the format enclosed at **Annexure-A** as prescribed in GFR 12-C, by the State Police Administration through the State Home Department. No diversion may be made in the wordings of the format prescribed in GFR 12-C.

- xi. The Ministry may release the entire allocation immediately during FY 2020-21 after approval of SAP by HPC, provided there are no pending UCs. The pending UCs may be forwarded to this Ministry at the earliest. If there are any mismatch of records between this Ministry and a particular State Government, the same may be reconciled over phone/fax/email, or through any other mode, on priority. If any mismatch persists till the time of High Powered Committee (HPC) Meeting, an agenda point may be made on the same for discussion in the meeting for resolution of the matter.
- xii. The SAP proposals need to be approved by the State Level Empowered Committee (SLEC) before forwarding the same to MHA.

4. As per practice, to facilitate discussions on the SAP proposals of the States, a brief Presentation shall be made by the officials of the respective States before the High Powered Committee in MHA. Apart from item-wise cost estimates and the targets/ projections & time-bound quantifiable/measurable outcome for the year 2019-20, the presentation should reflect the various points mentioned in para-2 above and also should include the following:

- i. State Security Plan.
- ii. Physical and financial achievements made by the State Government during the past 5 years, from 2015-16 onwards.
- iii. Benefits accrued to the State Police from the scheme.
- iv. Funds provided by the State Government in the last 5 years for equipping and modernization of State Police.
- v. Reasons for deployment of CAPFs in the State in the past 3 years.


5. Action Taken Report on the observations made by the C&AG of India in their report and the observations/ recommendations made in the quarterly concurrent audit reports, if not furnished so far, may also be promptly furnished to this Ministry.

6. Interest earned, if any, out of the central funds, by the State Government, as applicable, should be intimated to this Ministry and should be mandatorily remitted to the Consolidated Fund of India immediately after finalization of the accounts as per Rule 230(8) of General Financial Rules, 2017.

8. It is proposed to hold the High Powered Committee (HPC) meetings in the Ministry of Home Affairs to consider the SAPs of all States under this sub-scheme for the year 2020-21 during the month of **January and February, 2020** as per the calendar. The exact dates of the HPC meetings will be intimated in due course.

9. All the State Governments, are requested to submit 20 copies of their SAP proposals for the year 2020-21 under this sub-scheme, prepared on the lines suggested in para 3(i) to (xii), so as to reach the Deputy Secretary (PM-I), Police Modernisation Division, Ministry of Home Affairs, Jaisalmer House, 26, Man Singh Road, New Delhi - 110011, by **31st December, 2019**. Soft copy of SAPs may mandatorily be sent to email ID us-pm@nic.in.

Yours faithfully,


(Vivek Bharadwaj)

Additional Secretary (Police Modernisation)

Tele: 2338 3827

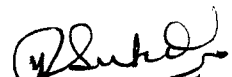
Enclosures: Statement-I and Annexure A, B & C.

Copy to:

1. JS(WS)/ JS(NE)/ JS (LWE), Ministry of Home Affairs
2. Controller General of Accounts
- ✓ 3. S.O., IT Cell, MHA, North Block, New Delhi with the request that this letter be uploaded on MHA's website immediately

Copy for kind information to:-

1. PPS to AS&FA (Home)
2. DG, BPR&D / Chief Forensic Scientist, Directorate of Forensic Science Services/
Director, NCRB / Director, DCPW
3. Director, IB
4. Director (Fin/ Home)


(Manohar N. Sukole)

Under Secretary (PM-I)

Tele Fax: 2338 6126

Email: us-pm@nic.in

Statement - I				
State-wise tentative allocation under MPF Scheme 2015-21 distributed based on pre-decided inter-State distribution ratio				
Sr. No.	Name of State	Allocation		
		Central Share	State Share	Total
(1)	(2)	(3)	(4)	(5)
Category 'A' States (90:10) cost sharing basis)				
1	J & K	39.90	4.43	44.33
2	Arunachal Pradesh	3.92	0.44	4.36
3	Assam	26.40	2.93	29.33
4	Manipur	9.55	1.06	10.61
5	Meghalaya	3.75	0.42	4.17
6	Mizoram	4.77	0.53	5.30
7	Nagaland	10.74	1.19	11.93
8	Sikkim	1.77	0.20	1.97
9	Tripura	7.84	0.87	8.71
10	Himachal Pradesh	3.50	0.39	3.89
11	Uttarakhand	3.37	0.37	3.74
Category 'B' States (60:40) cost sharing basis)				
12	Andhra Pradesh	24.46	16.31	40.77
13	Telangana	17.48	11.66	29.14
14	Bihar	27.62	18.42	46.04
15	Chhattisgarh	9.72	6.48	16.20
16	Goa	1.03	0.68	1.71
17	Gujarat	25.58	17.05	42.63
18	Haryana	11.48	7.65	19.13
19	Jharkhand	9.21	6.14	15.35
20	Karnataka	38.37	25.58	63.95
21	Kerala	16.11	10.74	26.85
22	Madhya Pradesh	27.11	18.07	45.18
23	Maharashtra	47.11	31.41	78.52
24	Odisha	15.60	10.40	26.00
25	Punjab	16.42	10.95	27.37
26	Rajasthan	31.26	20.84	52.10
27	Tamil Nadu	34.84	23.22	58.06
28	Uttar Pradesh	63.19	42.12	105.31
29	West Bengal	28.90	19.27	48.17
	Total	561.00	309.82	870.82

FORM GFR 12-C

[(See Rule 239)]

FORM OF UTILIZATION CERTIFICATE (FOR STATE GOVERNMENTS)

(Where expenditure incurred by government bodies only)

Sl. No	Letter No. and date	Amount	Certified that out of Rs. _____ of grants sanctioned during the year _____ in favour of _____ under this Ministry/Department Letter No. given in the margin and Rs. _____ on account of unspent balance of the previous year, a sum of Rs. _____ has been utilized for the purpose of _____ for which it was sanctioned and that the balance of Rs. _____ remaining unutilized at the end of the year has been surrendered to Government (vide No _____ dated _____) /will be adjusted towards the grants-in-aid payable during the next year. _____.
Total			

1. Certified that I have satisfied myself that the conditions on which the grant-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kind of checks exercised:

- 1.
- 2.
- 3.
- 4.

Signatory.....
 Name
 Designation
 Date.....
 Seal

PS: The UC shall disclose separately the actual expenditure incurred and loans and advances given to suppliers of stores and assets, to construction agencies and like in accordance with scheme guidelines and in furtherance to the scheme objectives, which do not constitute expenditure at the stage. These shall be treated as utilized grants but allowed to be carried forward.

FORMAT OF STATE ACTION PLAN/SUPPLEMENTARY PLANTABLE-I

Allocation for the year 2020-21 : Head-wise distribution

(Rs. in lakh or crore)

Sr. No.	Head	Central Fund	State Fund	Total	Priority in words & Reference para. of State Security Plan
1.	Weaponry				
2.	Ammunition (for training only)				
3.	Communication Equipment				
4.	Forensic Equipment				
5.	Intelligence Equipment				
6.	Training Equipment				
7.	SMART Policing Equipment				
8.	Traffic Equipment				
9.	Security /Other Equipment				
10.	CCTNS Equipment				
11.	Women's Safety related /ERSS Equipment				
12.	Mobility(<i>where applicable</i>)				
13.	Construction- Residential (<i>where applicable</i>)				
14.	Construction- Non-Residential (<i>where applicable</i>)				
	Total				

Note: Requirement may be shown in these Heads only. No separate organization-wise head (such as CID, Home Guards, Commissionerate, etc.) may be shown.

TABLE-II to TABLE XI

(Rs. in lakh or crore)

Sr. No.	Item	Reason for the proposal(Quantity)		Central Share		State Share		Total (A+B)	Justification	Priority in words & Reference para. of State Security Plan
		Requi- rement	Shortfall	Quantity	Total (A)	Quantity	Total (B)			
1	Item -I									
2	Item -II									
3	Item -III									
4	Item -IV									
	Total									

(State may add rows for additional items)

OUTCOME REPORT

S. No.	Outcome	Indicators	Target for 2019-20	Achievement	Target for 2020-21
1.	Reduction in dependency of State Police on deployment of Central Police Forces in maintaining law and order (i.e., excluding incidents of combating terrorism/left wing extremism/General or State Elections, etc.)	% decrease in demand of State for deployment of CAPFs for maintenance of law and order	2%		
2.	Reduction in response time reaching the crime/incidence scene	% reduction in response time reaching the crime/incidence scene			
3.	Reduction in left wing extremism attacks/casualties	% reduction in left wing extremism attacks/casualties			
4.	Increase in conviction rate	% increase in conviction rate			
5.	Increase in case of disposal rate in all services,	% increase in case of disposal rate in all services,			
6.	Crime and incidents prevented or averted	% crime and incidents prevented or averted			
7.	Increase in cases of re-offence	% increase in cases of re-offence			
8.	Decrease in fatal and major accidents,	% decrease in fatal and major accidents,			
9.	Reduction in commute time on roads	% reduction in commute time on roads			
10.	Increase in response, rescue and relief during emergencies	% increase in response, rescue and relief during emergencies			
11.	Reduction in crime against women	% reduction in crime against women			
12.	Increase in citizen happiness index on police services.	% increase in citizen happiness index on police services.			

(State Government is requested to fill up S.No. 1 mandatorily as the same is being monitored by NITI Aayog. State may add additional outcomes to this list)