Lockdown4.0 - States/UTs cannot dilute restrictions imposed in MHA guidelines, can only make the stricter based on local level assessment and Health Ministry Guidelines:

MHA

New Delhi, May 18, 2020

Union Ministry of Home Affairs (MHA), on 17.05.2020, issued the revised guidelines regarding lockdown restrictions, to contain COVID-19. As the lockdown was extended up to 31.05.2020, widespread relaxations were given in the restrictions.

Under the new guidelines effective from today, States and UTs would now delineate the Red Orange and Green zones, taking into consideration the revised guidelines issued by Ministry of Health and Family Welfare (MoHFW) on 17.05.2020. Inside the red/orange zones, containment and buffer zones would be identified by the local authorities, based on local level technical inputs and Health Ministry guidelines.

Within containment zones, strict perimeter would be maintained as earlier and only essential activities would be allowed. Limited activities would continue to be prohibited throughout the country. All other activities would be permitted except the ones that are specifically prohibited under MHA guidelines.

In view of these points, MHA has reiterated to the States/UTs that despite widespread relaxations given in lockdown restrictions under revised guidelines, States/UTs cannot dilute the restrictions imposed in MHA guidelines. They may prohibit certain other activities or impose restrictions, as deemed necessary on the basis of ground level analysis of the situation.

Further, it has been communicated that States/UTs must take into consideration the benchmarks/thresholds indicated in the revised guidelines issued by MoHFW, while delineating zones at local level. It has also been urged to give wide publicity to the Centre and respective State guidelines at local level for the convenience of the public.

To see the Official communication to States/UTs

VG/SNC/VM

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Dear Chief Secretary,

As you are aware that the Ministry of Home Affairs (MHA) has issued an Order today to extend the lockdown period upto 31st May 2020. New guidelines on lockdown measures have been issued in supersession of earlier lockdown Orders, except the Standing Operating Procedures (SoPs) issued on the movement of persons. These guidelines have been issued after taking into consideration the views of the State Governments following the Video Conference held by the Prime Minister with the Chief Ministers on 11th May, 2020.

2. Under the new guidelines effective from 18th May 2020, States and UTs will now categorize Red, Orange and Green zones taking into consideration the revised guidelines issued by the Ministry of Health and Family Welfare (MoHFW) on 17th May 2020. Inside the red/orange zones, containment and buffer zones will be identified by the district administration/local urban bodies with technical inputs at local level and by taking into consideration the Health Ministry guidelines. Within the containment zones, strict perimeter control shall be maintained, and no movement of persons would be allowed across the zones, except for medical emergencies and for maintaining supply of essential goods and services. A copy of MoHFW letter is attached for information.

3. A limited number of activities will continue to remain prohibited throughout the country. In order to facilitate the movement of persons, various modes of transport have already been opened up as per SoPs issued by MHA. All other activities will be permitted except those which are specifically prohibited under these guidelines. However, in containment zones, only essential activities shall be allowed, as mentioned earlier.

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4. As emphasized in my earlier D.O. letters, I would like to reiterate again that States/Union Territories cannot dilute restrictions imposed vide the aforesaid guidelines issued by MHA. States/UTs, based on their assessment of the situation, may prohibit certain other activities in various zones, or impose such restrictions as deemed necessary.

5. I would urge you to ensure compliance of the new guidelines, and direct all concerned authorities for their strict implementation. Further guidelines issued by MHA and consequent Orders issued by the respective State Governments/UT Administrations should be widely disseminated to the public and to the field functionaries for the convenience of people.

With regards,

Yours sincerely

Encl. as above

(Ajay Bhalla)

To

Chief Secretaries of all States
Dear Colleague,

1. Please refer to Ministry of Health & Family Welfare DO letter No. Z.28015/19/2020-EMR dated 30th April, 2020 regarding categorizing districts as hotspots/red zones/orange zone and green zones. Following the video conference of the Hon’ble Prime Minister with Hon’ble Chief Ministers on 11th May 2020 and taking into consideration the feedback received from states, the following guidelines are issued.

2. States may categorize districts/municipal corporations as red / orange / green zones. States may, however, also choose to categorize a sub division/ward or any other appropriate administrative unit as red/orange/green zone after detailed analysis at their end, duly taking into consideration the geographical spread of cases, contacts and their zone of influence in terms of disease spread.

3. While deciding the categorization, the States may take into consideration the parameters indicated below. Information on these parameters will be shared by MoHFW from time to time. As a normative guidance, the benchmarks/thresholds with respect to these parameters mentioned in the table below may be taken into consideration. However, the benchmarks/thresholds for critical and desirable levels are dynamic and may be revised by MoHFW as per evolving situation at the field level. A decision regarding aforesaid categorization of administrative units into zones may be
taken by States by undertaking a multi-factorial analysis based on the combination of these parameters.

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Parameter</th>
<th>Critical</th>
<th>Desirable</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total Active cases</td>
<td>&gt; 200</td>
<td>Zero cases or no reported case in last 21 days</td>
</tr>
<tr>
<td>2</td>
<td>Active Cases per Lakh population</td>
<td>&gt;15</td>
<td>-</td>
</tr>
<tr>
<td>3</td>
<td>Doubling rate (calculated over 7 days period)</td>
<td>&lt; 14 days</td>
<td>&gt; 28 days</td>
</tr>
<tr>
<td>4</td>
<td>Case Fatality rate</td>
<td>&gt; 6%</td>
<td>&lt; 1%</td>
</tr>
<tr>
<td>5</td>
<td>Testing Ratio (No of Tests per Lakh population)</td>
<td>&lt; 65</td>
<td>&gt; 200</td>
</tr>
<tr>
<td>6</td>
<td>Sample positivity rate (confirmation rate)</td>
<td>&gt;6%</td>
<td>&lt; 2%</td>
</tr>
</tbody>
</table>

4. The most important task, once the zones are identified is field action in terms of implementation of **Containment Plan of Action. Guidelines in this regard have already been** made available to states and may be accessed at: [https://www.mohfw.gov.in/pdf/Containmentplan16052020.pdf](https://www.mohfw.gov.in/pdf/Containmentplan16052020.pdf) and [https://www.mohfw.gov.in/pdf/UpdatedContainmentPlanforlargeOutbreaksofCOVID19Version3.0.pdf](https://www.mohfw.gov.in/pdf/UpdatedContainmentPlanforlargeOutbreaksofCOVID19Version3.0.pdf)

This entails identification of **Containment Zones** and **Buffer Zones** inside red/orange zones, from where cases have been primarily reported.

5. It is important to ensure that the Containment Zones are delineated based on:
   i. Mapping of cases and contacts
   ii. Geographical dispersion of cases and contacts
   iii. Area having well demarcated perimeter
   iv. Enforceability of perimeter control
6. It is reiterated that this is the primary area where intensive action is to be carried out with the aim of breaking the chain of transmission. This area should therefore be appropriately defined by the district administration/local urban bodies with technical inputs at local level. For effective containment, it is advisable to err on the side of caution.

7. Once the Containment Zone is delineated the perimeter will be defined and there would be strict perimeter control with:
   i. Establishment of clear entry and exit points,
   ii. No movement to be allowed except for medical emergencies and essential goods and services,
   iii. No unchecked influx of population to be allowed and
   iv. People transiting to be recorded and followed through IDSP.

8. Stringent action needs to be initiated within these Containment Zones in terms of:
   i. Active search for cases through physical house to house surveillance by Special Teams formed for the purpose
   ii. Testing of all cases as per sampling guidelines
   iii. Contact tracing
   iv. Identification of local community volunteers to help in surveillance, contact tracing and risk communication
   v. Extensive inter-personal and community based communication
   vi. Strict enforcement of social distancing
   vii. Advocacy on hand hygiene, respiratory hygiene, environmental sanitation and wearing of masks / face-covers
   viii. Clinical management of all confirmed cases

9. A **Buffer Zone** has to be delineated around each containment zone. It shall be appropriately defined by the district administration/local urban bodies with technical inputs at local level. Buffer zone will be primarily the area wherein additional & focused attention is needed so as to ensure that infection does not spread to adjoining areas. For effective containment, it is of paramount importance that the buffer zone is sufficiently large.
10. The focus areas of action in the Buffer Zone include:
   i. Extensive surveillance for cases through monitoring ILI/SARI cases in health facilities
   ii. Identify health facilities (Govt & private), healthcare workforce available (ASHAs/ANMs/AWW & doctors in PHCs/CHCs/District Hospitals)
   iii. All health facilities (including clinics) to report clinically suspect cases of COVID-19 on real time basis to the control room at the district level
   iv. Create community awareness on preventive measures such as personal hygiene, hand hygiene and respiratory etiquettes.
   v. Use of face cover, physical distancing through enhanced IEC activities.
   vi. Ensure social distancing

11. A Containment Operation (large outbreak or cluster) is deemed successful when no case is reported in last 28 days from the containment zone.

12. Effective field action in these containment zones and buffer zones will be critical to contain the disease.

13. All States are accordingly requested to initiate necessary action in terms of categorization of red/orange/green zones, delineation of containment zones and buffer zones and their notification.

   I would once again place on record my appreciation of your leadership and the efforts of your team in managing COVID-19. I look forward to your continued support in containing COVID-19.

   Warm regards,

   Yours sincerely

   (Presti Sudan)

   17.5.20

   All Chief Secretaries/Administrators of States/UTs